

ET420197824US

PATENT
Case No. AUS920010728US1
(9000/64)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JOHNNY R. BREZINA, CHRISTOPHER M. GABEL,
BRIAN M. KERRIGAN, ROGER T. LINDQUIST, GERALD D.
MALAGRINO, JR.

Serial No.

Filed:

Title: APPARATUS AND METHOD FOR
CONTROLLING AN OPTICAL TRANSCEIVER

Examiner:

Group Art Unit:

#2
710.02

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:


Pursuant to 37 C.F.R. §§ 1.56 and 1.97-1.98, Applicants would like to call the Examiner's attention to the references listed on the enclosed form PTO-1449. Copies of these references are also enclosed.

Applicants believe that none of the listed references, alone or in combination disclose or suggest Applicant's claimed invention.

Dated: 11/2/01

Respectfully submitted,

IBM CORPORATION
Intellectual Property Law Department
11400 Burnet Road - 4054
Austin, Texas 78758
(512) 823-0092


CASIMER K. SALYS
Registration No. (28,900)
Attorney for Applicants

FORM PTO-1449	SERIAL NO.	CASE NO. AUS920010728US1 (9000764)
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE	GROUP ART UNIT
(use several sheets if necessary)	APPLICANT(S): JOHNNY R. BREZINA CHRISTOPHER M. GABEL BRIAN M. KERRIGAN ROGER T. LINDQUIST GERALD D. MALAGRINO, JR.	

U.S. PTO
10/007024
11/06/01

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	FILING DATE	NAME	CLASS/SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A1	U.S. Patent Application entitled "OPTICAL ALIGNMENT IN A FIBER OPTIC TRANSCEIVER", (Inventors Johnny R. Brezina, et al.)
	A2	U.S. Patent Application entitled "PACKAGING ARCHITECTURE FOR A MULTIPLE ARRAY TRANSCEIVER USING A CONTINUOUS FLEXIBLE CIRCUIT", (Inventors Johnny R. Brezina, et al.)
	A3	U.S. Patent Application entitled, "MOUNTING A LENS ARRAY IN A FIBER OPTIC TRANSCEIVER", (Inventors Johnny R. Brezina, et al.)

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.